

DIVISION

PATENT APPLICATION
IN THE U.S. PATENT AND TRADEMARK OFFICE

FEB -7 PM 4:29

February 1, 2007

Applicant(s): Junzo SUNAMOTO et al.

For: COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL
DERIVATIVE

Serial No.: 09/936 953 Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001 Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date: March 30, 2000

Atty. Docket No.: Yanagihara Case 62

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

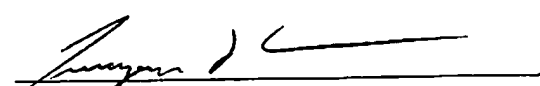
Enclosed is a copy of the December 2006 Deposit Account Statement showing charges of \$70.00 for a petition fee in the above matter. This charge is believed to be in error. The 37 CFR \$1.103(c) fee for requesting limited suspension of action in a request for continued examination falls under \$1.17(i) processing fee of \$130.00. An RCE was filed on December 13, 2006, wherein the filing fee of \$790.00 and the suspension of action fee of \$130.00 was submitted.

Please credit to Deposit Account No. 061382 the amount of
\$70.00.

Respectfully submitted,

IN DUPLICATE

TFC/cc


Terryence F. Chapman

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& TANIS, P.C.
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Steven R. Thiel
Donald J. Wallace
Sidney B. Williams, Jr.

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Reg. No. 32 549
Reg. No. 36 589
Reg. No. 40 694
Reg. No. 36 328
Reg. No. 53 685
Reg. No. 43 977
Reg. No. 24 949

Encl: Copy of September 2006 Deposit Account Statement
Copy of Check #027826

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on February 1, 2007.

Respectfully submitted,


Terryence F. Chapman

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Deposit Account Statement

Page 2 of 2

12/13 28 77062384	3008.T0283US	7001	\$650.00/OK	\$23,651.55
12/13 21 5911177	5 911 177 - 5714.P0019US	2552	\$1,150.00/OK	\$22,501.55
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12/13 2365 2037818	5594.T0001US	7201	\$800.00/OK	\$21,501.55
12/15 396 78374654	S*O TM 212	7004	\$450.00/OK	\$21,051.55
12/18 3 78696642	3002.M1076US	7402	\$300.00/OK	\$20,751.55
12/18 323 77065434	5016.T0038US	7001	\$650.00/OK	\$20,101.55
12/18 31 09936953	YANAGIHARA CASE 62	1463	petition fee \$70.00	\$20,031.55
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12/18 394 29269010	3138.P0388US	8021	\$40.00/OK	\$19,871.55
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START
BALANCE
\$11,726.55

SUM OF
CHARGES
\$13,155.00

SUM OF END
REPLENISH BALANCE
\$20,000.00 \$18,571.55

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FLYNN, THIEL, BOUTELL & TANIS, P.C.

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DATE Dec. 13, 2006

PAY TO THE ORDER OF **COMMISSIONER OF PATENTS AND TRADEMARKS**

\$ 920.00

Nine Hundred Twenty and No/100

DOLLARS

REF: 5720. P0062US

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FLYNN, THIEL, BOUTELL & TANIS, P.C.

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Terence J. Chap...
AUTHORIZED SIGNATURE

FLYNN, THIEL, BOUTELL & TANIS, P.C.

027826

SERIAL NO.	69/936 953	PATENT OR REG. NO.		ATT'Y REF.	5720. P0062US
DESCRIPTION		LARGE ENTITY	SMALL ENTITY	TRADEMARKS	
FILING FEE	RCE	\$ 790			
ASSIGNMENT RECORDING FEE					
EXTENSION FEE					
ADDITIONAL FILING FEE					
ISSUE FEE					
PETITION FEE	Suspension of Action	\$ 130			
AFFIDAVIT OR RENEWAL FEE					
APPEAL FEE					
MAINTENANCE FEE					
OTHER:					
TOTAL		\$ 920			

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PATENT APPLICATION

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IN THE U.S. PATENT AND TRADEMARK OFFICE
Express Mail Label No. EV 908 858 973 US
Attorney Docket No.: Yanagihara 62
December 13, 2006

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Sir:

This is a Request for Continued Examination (RCE) under 37 CFR \$1.114 of the below-identified pending utility or plant application:

Serial No.: 09/936 953

Filing Date: September 17, 2001

Confirmation No.: 4435

Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Inventors: (1) Junzo SUNAMOTO, (2) Kunio SHIMADA,
(3) Akio HAYASHI, (4) Ryuzo HOSOTANI,
(5) Yoshihiro YANO and (6) Kazunari AKIYOSHI

Group: 1617

Examiner: Chong

Please direct correspondence to the address associated with the following customer number:

23474

FLYNN, THIEL, BOUTELL & TANIS, P.C.
(FIRM)

1. Submission required under 37 CFR \$1.114
 - a. ☐ An amendment under 37 CFR \$1.116 previously filed on _____ was not entered. Please enter before calculating the filing fee.
 - b. ☒ Enclosed is/are:
 - i. ☐ Amendment/Response (please enter before calculating the filing fee)
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)
 - iv. ☒ Acknowledgment Post Card

12/18/2006 EFL0RES 00000016 061382 09936953

01 FC:1801 790.00 OP
02 FC:1463 70.00 DA 130.00 OP

Adj 12/18/2006 EFL0RES 00000016 061382 09936953
02 FC:1463 70.00 CR -130.00 OP

02/26/2007 SDEHBOB1 00000010 09936953
01 FC:1464 130.00 OP

v. ☒ Letter to Examiner

2. Miscellaneous

a. ☐ Small entity status is claimed. See 37 CFR 1.27.

☐ Statement(s) re small entity is/are enclosed.

b. ☐ _____.

3. Fees (The RCE fee under 37 CFR \$1.17(e) is required by 37 CFR \$1.114 when the RCE is filed).

a. ☒ The RCE fee and any filing fee for claims are calculated as shown below, after entering any amendments which this transmittal indicates are to be entered prior to such calculation:

For	No. Filed	No. Extra	(X) LG Entity	RATE	() SM Entity	Fee
Basic RCE Fee				\$790.00	\$395.00	\$790.00
Total Claims	(8 - 20 = 0)		x \$ 50.00		x \$ 25.00	
Indep. Claims	(1 - 3 = 0)		x 200.00		x \$100.00	
<input type="checkbox"/> Multiple Dep. Claim			+ \$360.00		+ \$180.00	
* * * TOTAL FILING FEE * * *						\$ 790.00

b. ☐ Pursuant to 37 CFR \$1.136(a), please extend the shortened period for response by month(s). The extension fee is:

☐ \$ (37 CFR \$1.17)

☐ \$ (37 CFR \$1.17; small entity status established by verified statement(s) submitted previously).

c. ☒ Suspension of action on the above-identified application is requested under 37 CFR \$1.103(c) for a period of 3 months. (Period of suspension shall not exceed 3 months). Suspension of action fee is \$130.00 (37 CFR \$1.17(i)).

d. ☒ A check for \$920.00 is enclosed to cover fees.

e. ☐ Please charge my Deposit Account No. 06-1382 in the amount of \$ _____. A duplicate copy of this transmittal is enclosed.

f. ☒ The Commissioner is hereby authorized to charge payment of any additional application processing fees under 37 CFR \$1.17 associated with this communication or to

credit any overpayment to Deposit Account No. 06-1382.

A duplicate copy of this sheet is enclosed.

The undersigned is: ☒ an attorney of record

☐ acting under 37 CFR \$1.34.

Please direct all telephone calls to the undersigned at
telephone number (269) 381-1156.

Respectfully submitted,

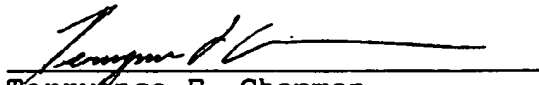
FLYNN, THIEL, BOUTELL & TANIS, P.C.

IN DUPLICATE

TFC/smd

Encl: Listed above

106.12/05


Terrance F. Chapman
Reg. No. 32 549